# SYMPLECTIC GEOMETRY, LECTURE 21

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### 1. Counterexamples contd.

We continue our discussion of the Thurston manifold introduced last time. Recall that  $M = \mathbb{R}^4 / \Gamma$ , where  $\Gamma$  is generated by the four maps

(1)  

$$g_1 : (x_1, x_2, x_3, x_4) \mapsto (x_1 + 1, x_2, x_3, x_4)$$

$$g_2 : (x_1, x_2, x_3, x_4) \mapsto (x_1, x_2 + 1, x_3 + x_4, x_4)$$

$$g_3 : (x_1, x_2, x_3, x_4) \mapsto (x_1, x_2, x_3 + 1, x_4)$$

$$g_4 : (x_1, x_2, x_3, x_4) \mapsto (x_1, x_2, x_3, x_4 + 1)$$

We showed last time that M was symplectic.

Lemma 1.  $H_1(M,\mathbb{Z}) = \mathbb{Z}^3$ .

*Proof.* One way to see this is to note that  $g_3 = [g_4, g_2]$ , so  $Ab(\Gamma) = \Gamma/[\Gamma, \Gamma] = \Gamma/\langle g_3 \rangle \cong \mathbb{Z}^3$ . To see this another way, note that  $\pi_1(M) = \Gamma$  is generated by the four loops  $\gamma_1, \gamma_2, \gamma_3, \gamma_4$  given by the coordinate axes in  $\mathbb{R}^4$ . Look at  $\gamma_4$ : this can be described as

(2) 
$$\gamma_4 \sim \{(a_1, a_2, a_3, t), t \in [0, 1]\} \sim \{(a_1, a_2 - 1, a_3, t), t \in [0, 1]\} \sim \{(a_1, a_2, a_3 + t, t), t \in [0, 1]\}$$

implying that  $[\gamma_4] = [\gamma_3] + [\gamma_4]$  and  $[\gamma_3] = 0$  in  $H_1(M)$  (so the space is generated by the images of the other three loops).

# 2. Symplectic Fibrations

Let  $f: M \to B$  be a locally trivial fibration, with generic fiber  $(F, \omega_F)$  a symplectic manifold.

**Definition 1.** f is symplectic if the structure group reduces to  $\text{Symp}(F, \omega_F)$ , i.e.  $\exists$  local trivializations f:  $f^{-1}(U_i) \cong U_i \times F \to U_i$  s.t., over  $U_i \cap U_j$ , the change of trivialization is a symplectomorphism.

Now, let  $f: M \to B$  be a compact, locally trivial symplectic fibration with symplectic fiber  $(F, \omega_F)$  and symplectic base  $(B, \omega_B)$ .

**Theorem 1** (Thurston). If  $\exists c \in H^2(M, \mathbb{R})$  s.t.  $c|_F = [\omega_F] = H^2(F, \mathbb{R})$ . Then  $\forall k >> 0, \exists$  a symplectic form on M in the class  $c + k.f^*[\omega_B]$  for which the fibers of f are symplectic submanifolds.

Proof. Choose a closed 2-form  $\eta$  on M s.t.  $[\eta] = c$ , and a cover  $\{U_i\}$  of B by contractible subsets with trivializations  $\phi_i : f^{-1}(U_i) \to F \times U_i$  s.t.  $\phi_i \circ \phi_j^{-1}$  are symplectomorphisms over  $U_i \cap U_j$ . Let  $p_i = \operatorname{pr}_2 \circ \phi_i : f^{-1}(U_i) \to F$ . Then, on  $U_i \times F$ ,  $\eta$  and  $p_i^* \omega_F$  are closed 2-forms, and

(3) 
$$[\eta|_{f^{-1}(U_i)}] = [p_i^* \omega_F] \in H^2(f^{-1}(U_i), \mathbb{R}) \cong H^2(F, \mathbb{R})$$

since  $c|_F = [\omega_F]$ . Thus,  $\exists$  a 1-form  $\alpha_i$  on  $f^{-1}(U_i)$  s.t.  $p_i^* \omega_F = \eta + d\alpha_i$ . Now, let  $\rho_i$  be a partition of unity on B by smooth functions  $\rho_i : B \to [0, 1]$ ,  $\operatorname{Supp}(\rho_i) \subset U_i$ , and set  $\tilde{\eta} = \eta + \sum d((\rho_i \circ f)\alpha_i)$ . Then  $\tilde{\eta}$  is closed, with  $[\tilde{\eta}] = [\eta] = c$ : moreover,

(4) 
$$\tilde{\eta}|_{F_p = f^{-1}(p)} = \eta|_{F_p} + \sum_i (\rho_i \circ f) d\alpha_i|_{F_p} = \sum_i \rho_i(f(p))(\eta|_{F_p} + d\alpha_i|_{F_p}) = \omega_F$$

in the trivializations  $\phi_i$ .

We have obtained a closed 2-form  $\tilde{\eta}$  on M s.t.  $[\tilde{\eta}] = c$  which is symplectic on the fibers.  $\forall x \in M$ , split  $T_x M = V_x \oplus H_x$ , where  $V_x = \text{Ker } df_x$  is the tangent space to the fiber and  $H_x = \{v \in T_x M | \tilde{\eta}(v, v') = 0 \forall v' \in V_x\}$ 

 $V_x$ }. These two spaces are in direct sum since  $\tilde{\eta}|_{V_x}$  is nondegenerate.  $f^*\omega_B$  is nondegenerate on  $H_x$  because  $df_x : H_x \xrightarrow{\sim} T_{f(x)}B$ , so  $\tilde{\eta} + kf^*\omega_B$  is nondegenerate on  $H_x$  for k >> 0 since nondegeneracy is an open condition (consider  $f^*\omega_B + \frac{1}{k}\tilde{\eta}$ ). It is also nondegenerate on  $V_x$  since  $(\tilde{\eta} + kf^*\omega_B)|_{V_x} = \tilde{\eta}|_{V_x}$ . Thus, we obtain our desired symplectic form on M.

Remark. Assume dim F = 2: then if F is orientable and the fibration is oriented, we always have a symplectic form  $\omega_F$ , and the structure group always reduces to  $\operatorname{Symp}(F, \omega_F) = \operatorname{Diff}_{\operatorname{vol}}^+(F)$ . The cohomological assumption in the theorem is equivalent to the statement that  $[f^{-1}(\operatorname{pt})] \neq 0 \in H_2(M, \mathbb{R})$  (for instance, it is true on the Kodaira-Thurston manifold).

We can generalize this to other settings.

**Definition 2.** A Lefschetz fibration is a map  $M^4 \to \Sigma^2$  between oriented manifolds with isolated critical points modeled in oriented coordinates on  $\mathbb{C}^2 \to \mathbb{C}, (z_1, z_2) \to z_1^2 + z_2^2$  (so the central fibers is the union of two lines, and nearby fibers are smooth conics).

**Theorem 2** (Gompf, 1998). If  $f: M^4 \to \Sigma^2$  is a Lefschetz fibration with  $[F] \neq 0 \in H_2(M, \mathbb{R})$ , then M carries a symplectic form s.t. the fibers are symplectic.

**Theorem 3** (Donaldson). For  $(M^4, \omega)$  symplectic, after blowing up points in M, we get  $\hat{M}$  which admits a Lefschetz fibration to  $S^2$ . Here, the blowup is locally given by  $\hat{\mathbb{C}}^2 = \{(x, \ell) \in \mathbb{C}^2 \times \mathbb{CP}^1 | x \in \ell\}.$ 

The idea of this theorem is to look at approximately holomorphic sections  $s, s' \in C^{\infty}(L^{\otimes k})$  s.t. s/s' is an "approximately meromorphic" function and has nondegenerate critical points.

### 3. Symplectic Sums (Gompf 1994)

Definition 3. A symplectic sum is a connected sum along a codimension 2 symplectic submanifold.

Explicitly, for  $Q^{2n-2} \subset (M^{2n}, \omega)$  a compact, symplectic submanifold,  $NQ = (TQ)^{\perp}$  is a rank 2 symplectic vector bundle over Q. Putting a compactible complex structure on it gives  $c_1(NQ) \in H^2(Q, \mathbb{Z})$ . Assume NQ is trivial, so  $c_1(NQ) = 0$  (i.e. it has a nonvanishing section).

*Example.* For n = 2,  $c_1$  is precisely the degree of the line bundle, and deg  $(NQ) = [Q] \cdot [Q]$  because the zeroes of a section of NQ are obtained by deforming Q to Q' and intersecting them.

Now, by the symplectic tubular neighborhood theorem, we have a neighborhood of Q in M symplectomorphic to  $(Q \times D^2(\epsilon), \omega|_Q \oplus \omega_0)$ . Idea: use exponential maps to identify  $\phi : v(Q) \xrightarrow{\sim} Q \times D^2(\epsilon)$  s.t.  $\phi_* \omega$  and  $(\omega|_Q \oplus \omega_0)$  agree on  $Q \times \{0\}$ , and use local Moser to produce a local symplectomorphism to identify to two forms.