

## PROPERTIES OF SIMPLE ROOTS

YOUR NAME HERE

18.099 - 18.06 CI.

Due on Monday, May 10 in class.

*Write a paper proving the statements and working through the examples formulated below. Add your own examples, asides and discussions whenever needed.*

Let  $V$  be a Euclidean space, that is a finite dimensional real linear space with a symmetric positive definite inner product  $\langle , \rangle$ .

Recall that for a root system  $\Delta$  in  $V$ , a subset  $\Pi \subset \Delta$  is a set of simple roots (a simple root system) if

- (1)  $\Pi$  is a basis in  $V$ ;
- (2) Each root  $\beta \in \Delta$  can be written as a linear combination of elements of  $\Pi$  with integer coefficients of the same sign, i.e.

$$\beta = \sum_{\alpha \in \Pi} m_\alpha \alpha$$

with all  $m_\alpha \geq 0$  or all  $m_\alpha \leq 0$ .

The root  $\beta$  is positive if the coefficients are nonnegative, and negative otherwise. The set of all positive roots (positive root system) associated to  $\Pi$  is denoted  $\Delta^+$ .

Below we will assume that the root system  $\Delta$  is reduced, that is, for any  $\alpha \in \Delta$ ,  $2\alpha \notin \Delta$ .

**Theorem 1.** *In a given  $\Delta$ , a set of simple roots  $\Pi \subset \Delta$  and the associated set of positive roots  $\Delta^+ \subset \Delta$  determine each other uniquely.*

Hint: easy. Use the explicit construction of  $\Pi \subset \Delta^+$  given in [3].

The question of existence of sets of simple roots for any abstract root system  $\Delta$  is settled in [3]. Theorem 1 shows that once  $\Pi$  is chosen  $\Delta^+$  is unique. In this paper we want to address the question of the possible choices for  $\Pi \subset \Delta$ . We start with a couple of examples.

**Example 2.** *The root system of the type  $A_2$  consists of the six vectors  $\{e_i - e_j\}_{i \neq j}$  in the plane orthogonal to the line  $e_1 + e_2 + e_3$  where  $\{e_1, e_2, e_3\}$  is an orthonormal basis in  $\mathbb{R}^3$ . Present the vectors of this root system in a standard orthonormal basis of the plane. Find possible simple root systems*

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$\Pi \subset \Delta$  and the associated sets of positive roots  $\Delta^+$ ,  $\Pi \subset \Delta^+ \subset \Delta$ . Check that any two simple root systems  $\Pi \subset \Delta$  can be mapped to each other by an orthogonal transformation (see [1] for definition) of  $V$ , and that the same transformation maps the associated sets of positive roots.

**Example 3.** Consider the root system of the type  $B_2$  in  $V = \mathbb{R}^2$ : it consists of eight vectors  $\{\pm e_1 \pm e_2, \pm e_1, \pm e_2\}$ . Find possible simple root systems  $\Pi \subset \Delta$  and check that they can be obtained from any chosen one by an orthogonal transformation of  $\mathbb{R}^2$ . Check that the same transformation maps the associated sets of positive roots to each other.

We start working towards a result generalizing our observations. Recall the definition of a reflection associated to an element  $\alpha \in V$  (cf. [1]):

$$s_\alpha(x) = x - \frac{2\langle x, \alpha \rangle}{\langle \alpha, \alpha \rangle} \alpha.$$

It is an orthogonal transformation of  $V$ .

**Theorem 4.** Let  $\Pi \subset \Delta$  be a set of simple roots, associated to the set of positive roots  $\Delta^+$ . For any  $\alpha \in \Delta$ , the set obtained by reflection  $s_\alpha(\Pi)$  is a simple root system with the associated positive root system  $s_\alpha(\Delta^+)$ .

To understand better the passage from  $\Delta^+$  to  $s_\alpha(\Delta^+)$ , we consider the special case when  $\alpha$  is a simple root. Then  $\Delta^+$  and  $s_\alpha(\Delta^+)$  differ by only one root:

**Theorem 5.** Let  $\Pi \subset \Delta$  be a simple root system, contained in a positive root set  $\Delta^+$ . If  $\alpha \in \Pi$ , then the reflection  $s_\alpha$  maps the set  $\Delta^+ \setminus \{\alpha\}$  to itself.

**Corollary 6.** Any two positive root systems in  $\Delta$  can be obtained from each other by a composition of reflections with respect to the roots in  $\Delta$ .

Hint: Let  $\Delta_1^+$  and  $\Delta_2^+$  be two positive root systems. Recall that the negative roots  $\Delta_i^-$  are the negatives of the elements in  $\Delta_i^+$ ,  $i = 1, 2$  (see [3]). Use induction on the number of elements in the intersection  $\Delta_1^+ \cap \Delta_2^-$ . Theorem 5 provides a way to decrease this number by one.

The statements above show that although a set of simple roots is not unique for a given  $\Delta$ , they are related to each other by a simple orthogonal transformation of the space  $V$ . In particular, the angles and relative lengths of simple roots in any two simple root systems in  $\Delta$  are the same. The next theorem proves another useful property of simple roots.

**Theorem 7.** Let  $\Pi \subset \Delta^+$  be a simple and a positive root systems in  $\Delta$ . Any positive root  $\beta \in \Delta^+$  can be written as a sum

$$\beta = \alpha_1 + \alpha_2 + \dots + \alpha_k,$$

where  $\alpha_i \in \Pi$  for all  $i = 1, \dots, k$  (repetitions are allowed). Moreover, it can be done so that each partial sum

$$\alpha_1 + \dots + \alpha_m, \quad 1 \leq m \leq k$$

is also a root.

Hint: Choose  $t \in V$  such that  $\langle t, \alpha \rangle = 1$  for all  $\alpha \in \Pi$ . Prove that such  $t$  exists and that the number  $r = \langle t, \beta \rangle$  is a positive integer for any  $\beta \in \Delta^+$ . Using Lemma 7 in [3], show that  $\langle \alpha, \beta \rangle > 0$  for some  $\alpha \in \Pi$ . Then proceed by induction on  $r$ . Theorem 10(1) in [2] allows us to reduce  $r$  by one.

**Example 8.** Let  $\Delta$  be the root system in  $V = \mathbb{R}^2$  such that the angle between the simple roots is  $\frac{5\pi}{6}$ . This condition determines  $\Delta$  completely (this is the root system of the type  $G_2$ ). Construct and sketch the simple roots, positive roots, and the whole root system  $\Delta$ . Apply Theorem 7 in this case to present each positive root as a sum of simple roots.

Recall that two root systems  $\Delta$  and  $\Delta'$  are *isomorphic* if there exists a linear automorphism of  $V$  that maps  $\Delta$  onto  $\Delta'$  and preserves the integers  $\frac{2\langle \beta, \alpha \rangle}{\langle \alpha, \alpha \rangle} i$ . A root system is irreducible if it cannot be decomposed as a disjoint union of two root systems  $\Delta = \Delta' \cup \Delta''$  of smaller dimension, so that each element of  $\Delta'$  is orthogonal to each element of  $\Delta''$ .

**Example 9.** Up to isomorphism, there are just three reduced irreducible root systems in  $V = \mathbb{R}^3$ , of the types  $A_3$ ,  $B_3$  and  $C_3$  (see Example 5 in [2], Examples 10 and 11 in [3] for definitions). Find the other possible reduced root systems in  $V = \mathbb{R}^3$  (they can be represented as a union of two or more root systems in smaller dimensions).

Hint: Note that the only reduced root system in  $\mathbb{R}$  is of the type  $A_1$ . A classification of root systems in  $\mathbb{R}^2$  can be carried out as indicated at the end of [2].

#### REFERENCES

- [1] Your classmate, *Reflections in a Euclidean space*, preprint, MIT, 2004.
- [2] Your classmate, *Abstract root systems*, preprint, MIT, 2004.
- [3] Your classmate, *Simple and positive roots*, preprint, MIT, 2004.