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### 5.80 Small-Molecule Spectroscopy and Dynamics

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## Lecture \#17: Hund's Cases: ${ }^{2} \Pi,{ }^{2} \Sigma^{ \pm}$Examples

4 ways to think about Hund's Cases:

1. Pattern forming quantum numbers. A search for ${ }^{1} \Sigma^{+}$-like rotational level pattern

$$
\begin{array}{ll}
\mathrm{BJ}(\mathrm{~J}+1) & \text { cases a and } \mathrm{c} \\
\mathrm{BN}(\mathrm{~N}+1) & \text { case } \mathrm{b}
\end{array}
$$

Repeated ${ }^{1} \Sigma$-like patterns as in ${ }^{3} \Pi$ state.
*** 2. $\quad \mathbf{H}^{\text {eff }}$ and Perturbation Theory. When is $\mathbf{H}_{12}^{\prime} \gg \Delta \mathrm{E}_{12}^{\mathrm{o}}$ and vice versa?
3. Vector precession models. How do the various angular momenta project into the body and laboratory? What gets averaged out and what does not as vectors precess? Are various angular momenta components expected to be conserved?
4. Basis set transformations (like $\left.|\mathrm{JMLS}\rangle \leftrightarrow\left|\mathrm{LM}_{\mathrm{L}}\right\rangle / \mathrm{SM}_{\mathrm{S}}\right\rangle$ for atoms) $3 \mathrm{j}, 6 \mathrm{j}, 9 \mathrm{j}$ transformation coefficients. Alternate form of $\widehat{\mathbf{H}}$ example: $\widehat{\mathbf{H}}^{\mathrm{ROT}}=\mathrm{B}(\mathrm{R})[\hat{\mathbf{N}}-\hat{\mathrm{L}}]^{2}$.
We are going to look at the ${ }^{2} \Pi,{ }^{2} \Sigma^{+}$matrix and use perturbation theory to identify and describe each of the Hund's limiting cases.

Exclude $\gamma \quad y=J+1 / 2 \quad \operatorname{Treat}{ }^{2} \Pi,{ }^{2} \Sigma^{+}$together because they could form " $p$-complex".

| $\binom{\mathrm{e}}{\mathrm{f}}$ | ${ }^{2} \Pi_{3 / 2}$ | ${ }^{2} \Pi_{1 / 2}$ | ${ }^{2} \Sigma^{+}$ |
| :---: | :---: | :---: | :---: |
| ${ }^{2} \Pi_{3 / 2}$ | $\mathrm{E}_{\Pi}+\mathrm{A}_{\Pi} / 2+\mathrm{B}_{\Pi}\left(\mathrm{y}^{2}-2\right)$ | $-\mathrm{B}_{\Pi}\left(\mathrm{y}^{2}-1\right)^{1 / 2}$ | $-\beta\left(\mathrm{y}^{2}-1\right)^{1 / 2}$ |
| ${ }^{2} \Pi_{1 / 2}$ | sym | $\mathrm{E}_{\Pi}-\mathrm{A}_{\Pi} / 2+\mathrm{B}_{\Pi} \mathrm{y}^{2}$ | $\alpha+\beta(1 \mp \mathrm{y})$ |
| ${ }^{2} \Sigma^{+}$ | sym | sym | $\mathrm{E}_{\Sigma}+\mathrm{B}_{\Sigma}\left(\mathrm{y}^{2} \mp \mathrm{y}\right)$ |

Crucial Energy Denominators: $\quad\left[\begin{array}{l}\Delta \mathrm{E}_{\Pi}^{\mathrm{o}}=\Pi_{3 / 2}-\Pi_{1 / 2}=\mathrm{A}_{\Pi}-2 \mathrm{~B}_{\Pi} \quad \text { (spin-orbit) } \\ \Delta \mathrm{E}_{\Pi-\Sigma}^{\mathrm{o}}=\mathrm{E}_{\Pi}-\mathrm{E}_{\Sigma}\end{array}\right.$


Crucial Coupling Terms

$$
\begin{array}{ll}
-\mathrm{B} \hat{\mathrm{~J}}_{ \pm} \hat{\mathrm{S}}_{\mp} \Rightarrow-\mathrm{B}_{\Pi}\left(\mathrm{y}^{2}-1\right)^{1 / 2} & (\text { spin-uncoupling) } \\
-\mathrm{B} \hat{\mathrm{~J}}_{ \pm} \hat{\mathrm{L}}_{\mp} \Rightarrow-\beta\left(\mathrm{y}^{2}-1\right)^{1 / 2} & (\ell \text {-uncoupling) } \\
\widehat{\mathbf{H}}^{\mathrm{SO}} \Rightarrow \alpha & \text { (spin-orbit) }
\end{array}
$$

Case (a) Strong spin-orbit, stronger non-spherical field

$$
\Delta \mathrm{E}_{\Pi \Sigma} \gg \mathrm{A}, \alpha \gg \mathrm{By}, \beta \mathrm{y}
$$

$\Omega, \Lambda, \operatorname{Sgood}|\mathrm{n} \Lambda \mathrm{S} \Sigma\rangle|\Omega \mathrm{JM}\rangle$
patterns $\quad \mathrm{BJ}(\mathrm{J}+1) \quad$ one for each $\Omega$, separated in energy by $\mathrm{A} \Lambda$
Case (b) Weak spin-orbit, strong field

$$
\Delta \mathrm{E}_{\Pi \Sigma} \gg \mathrm{By}, \beta \mathrm{y} \gg \mathrm{~A}, \alpha
$$

$\Lambda, S$ good, $\quad \sum, \Omega$ bad $\quad|\mathrm{n} \Lambda \mathrm{SNJM}\rangle$
patterns $\quad \mathrm{BN}(\mathrm{N}+1)$ - with fine structure splittings
Case (c) Strongest spin-orbit, moderate field

$$
\mathrm{A}, \alpha \gg \Delta \mathrm{E}_{\Pi \Sigma} \gg \mathrm{By}, \beta \mathrm{y}
$$

$\Omega$ good, $\quad \mathrm{N}, \mathrm{S}, \Lambda, \Sigma$ bad $\quad|\mathrm{n} \Omega \mathrm{JM}\rangle \quad$| $\left(\mathrm{J}_{\mathrm{a}}\right.$ and $\Omega_{\mathrm{a}}$ atom-in-molecule <br> quantum numbers $)$ |
| :--- |

isolated $\mathrm{BJ}(\mathrm{J}+1)$ patterns
[A, $\alpha$ can also be large with respect to $\Delta \mathrm{G}(1 / 2)$. Relativistic adiabatic potential curves]
Case (d) weak spin-orbit, weak field

$$
\mathrm{By}, \beta \mathrm{y}>\mathrm{A}, \alpha \approx \Delta \mathrm{E}_{\Pi \Sigma}
$$

$\ell, \mathrm{S}, \mathrm{R} \operatorname{good}, \quad \Lambda, \Sigma, \mathrm{N}, \Omega$ bad $\quad|\mathrm{n} \ell \mathrm{SRM}\rangle$ BR(R + 1)

Case (e) Strong spin-orbit, weak field

$$
\mathrm{A}, \alpha \gg \mathrm{By}, \beta \mathrm{y} \gg \Delta \mathrm{E}_{\Pi \Sigma}
$$

Now let us examine ${ }^{2} \Pi,{ }^{2} \Sigma^{+}$blocks separately near the case (a) limit.

$$
\Delta \mathrm{E}_{\Pi_{3 / 2} \Pi_{1 / 2}}^{\mathrm{o}}=\mathrm{A}_{\Pi}-2 \mathrm{~B}_{\Pi} \gg \mathbf{H}_{3 / 2,1 / 2}^{\prime}=\mathrm{B}_{\Pi}\left(\mathrm{y}^{2}-1\right)^{1 / 2} \approx \mathrm{~B}_{\Pi} \mathrm{J}
$$

In this case we have two independent sub-states:

${ }^{2} \Pi_{3 / 2}$ and ${ }^{2} \Pi_{1 / 2}$ appear to be two completely separate substates with identical $\Delta \mathrm{G}$ and similar $\mathrm{B}_{\mathrm{v}}$ values. ${ }^{1} \Sigma^{+}$-like quantum number is J .

At high-J, $\mathrm{H}_{12}^{\prime}>\Delta \mathrm{E}^{\circ}$ therefore must diagonalize $2 \times 2 \rightarrow$ case b. LATER

## Vector Coupling picture

L precesses about z (unit vector $\hat{\mathrm{k}}$ ) to define $\Lambda$ (all of L does not get averaged to zero)
$\Lambda$ provides a unique body-fixed direction for $S$ to couple to! $S$ can't see $\hat{k}$ without $\Lambda$ to mark it!
S precesses about z to define $\Sigma$.
$\Lambda+\Sigma=\Omega$ (because $\mathrm{R} \perp \hat{\mathrm{k}}$ hence it makes no contribution to projection of J on z -axis)

## $\Omega \hat{\mathrm{k}}+\hat{\mathrm{R}}=\hat{\mathrm{J}}$


$\mathrm{R}, \Omega \hat{\mathrm{k}}$ have non-zero projections on J and precess about $\overrightarrow{\mathrm{J}}$.
Since J projects into laboratory, the precession of $\Omega, \mathrm{R}$ about J carries information about $\Omega, \mathrm{R}$ into laboratory.

At high $\mathrm{J}, \mathrm{BJ}_{ \pm} \mathrm{S}_{\mp}$ causes S to couple to a direction other than body $\hat{\mathrm{k}}$. S begins to precess about J rather than $\hat{k}$ and $\Sigma$ is no longer defined (transition to case (b)). J has well defined projnection in laboratory. Therefore $S$ also has well defined lab projection. $S$ has decoupled from body frame. $\mathrm{M}_{\mathrm{J}}$ and $\mathrm{M}_{\mathrm{s}}$. Zeeman effect explained by vector model.

Case (b) limit
$\mathrm{A}-2 \mathrm{~B}=0 \quad($ or $|\mathrm{A}-2 \mathrm{~B}| \ll \mathrm{BJ})$
S can't find z-axis because coupling mechanism $\left(\mathbf{H}^{\mathrm{SO}}\right)$ is turned off.
Look at ${ }^{2} \Pi$ matrix for $A=2 B$

Let $\left(y^{2}-1\right)^{1 / 2}=\mathrm{z}$.

$$
\begin{aligned}
\mathbf{H}_{\Pi}^{\text {eff }} & =\left(\begin{array}{cc}
\mathrm{E}+\mathrm{Bz}^{2}+\mathrm{Bz} & 0 \\
0 & \mathrm{E}+\mathrm{Bz}^{2}-\mathrm{Bz}
\end{array}\right) \\
& =\left(\begin{array}{cc}
\mathrm{E}+\mathrm{Bz}(\mathrm{z}+1) & 0 \\
0 & \mathrm{E}+\mathrm{Bz}(\mathrm{z}-1)
\end{array}\right)
\end{aligned}
$$

What is z ? It is the new pattern forming quantum number. Note that two states follow $B m(m+1)$ where $m$ 's change in steps of 1 .
$\mathrm{y}=\mathrm{J}+1 / 2$ (integer if J is half-integer)
$\mathrm{z}=\left(\mathrm{y}^{2}-1\right)^{1 / 2}=\left(\mathrm{J}^{2}+\mathrm{J}+1 / 4-1\right)^{1 / 2} \approx \mathrm{~J}+1 / 2$ at high J .
Let's look at the diagonal $\mathbf{H}^{\text {eff }}$ in this case b limit:


This is the same pattern that always is found in ${ }^{2} \Sigma^{+}$state.


Get pairs of eigenvalues for each J separated by 2By. This is the rotational level separation for $\mathrm{BN}(\mathrm{N}+1)$.

Get each N split slightly into two J's (fine structure).
case (b) $\quad{ }^{2} \Pi\binom{e}{f} \mathrm{E}+\mathrm{BN}(\mathrm{N}+1)$

$$
{ }^{2} \Sigma^{+}\binom{\mathrm{e}}{\mathrm{f}} \mathrm{E}+\mathrm{BN}(\mathrm{~N}+1)
$$

Near degenerate pairs have same N , different J , same parity, opposite e/f (e always above or always below f). ${ }^{2} \Pi$ looks like a ${ }^{2} \Sigma^{+}$plus a ${ }^{2} \Sigma^{-}$state.

L precesses about z to form $\Lambda$ (usually $\Lambda=0$ ).
$S$ does not see $\Lambda$ (therefore projections of $S$ are quantized in lab, not body).
$\Lambda \hat{k}+\vec{R}=\vec{N}, \quad \vec{S}+\vec{N}=\vec{J}$
$S$ and $N$ couple weakly by magnetic dipoles, therefore $S$ is easily uncoupled from anything that carries any information about body. Zeeman effect.

Case (c) $\quad$ Super-Strong $\mathbf{H}^{\text {so }} \quad(\Delta \Omega=0)$
$\alpha, \mathrm{A}$ large with respect to $\Delta \mathrm{G}, \Delta \mathrm{E}_{\Pi \Sigma}, \Delta \mathrm{E}_{\mathrm{S}, \mathrm{S} \pm 1}$
each $\Omega$ acts as a separate electronic state (distinct shapes of potential curves, especially when $\mathrm{A} \gtrsim \mathrm{D}^{\mathrm{e}}$ and both $\Omega$ 's try to dissociate to same separated atom asymptote).
$\Omega, \mathrm{J} \quad$ defined
$\mathrm{S}, \Sigma, \Lambda$ not defined, lose a lot of information
Often have a hidden quantum number $\quad \mathrm{L}+\mathrm{S}=\mathrm{J}_{\mathrm{a}} \quad$ atomic total angular momentum
$\mathrm{J}_{\mathrm{a}}$ precesses about z to define $\Omega$

$$
\Omega \hat{\mathrm{k}}+\overrightarrow{\mathrm{R}}=\mathrm{J} \quad \mathbf{H}^{\mathrm{ROT}}=\mathrm{B}\left(\mathrm{~J}-\mathrm{J}_{\mathrm{a}}\right)^{2}
$$

Consider a p-complex in case (c) and let $\mathrm{E}_{\Pi} \equiv \mathrm{E}_{\Sigma} \equiv \mathrm{E}$
$\langle\mathrm{p} \Pi| \mathrm{L}_{+}|\mathrm{p} \Sigma\rangle=2^{1 / 2}, \quad \alpha=2^{1 / 2} \mathrm{~A} / 2=2^{-1 / 2} \mathrm{~A}$, and $\beta=2^{1 / 2} \mathrm{~B}$

| $\binom{\mathrm{e}}{\mathrm{f}}$ | ${ }^{2} \Pi_{3 / 2}$ | ${ }^{2} \Pi_{1 / 2}$ | ${ }^{2} \Sigma^{+}$ |
| :---: | :---: | :---: | :---: |
| ${ }^{2} \Pi_{3 / 2}$ | $\mathrm{E}+\mathrm{A} / 2+\mathrm{B}\left(\mathrm{y}^{2}-2\right)$ | $-\mathrm{B}\left(\mathrm{y}^{2}-1\right)^{1 / 2}$ | $-2^{1 / 2} \mathrm{~B}\left(\mathrm{y}^{2}-1\right)^{1 / 2}$ |
| ${ }^{2} \Pi_{1 / 2}$ | $\mathrm{E}-\mathrm{A} / 2+\mathrm{By}^{2}$ | $2^{-1 / 2} \mathrm{~A}+2^{1 / 2} \mathrm{~B}(1 \mp \mathrm{y})$ |  |
| ${ }^{2} \Sigma^{+}$ |  | $\mathrm{E}+\mathrm{B}\left(\mathrm{y}^{2} \mp \mathrm{y}\right)$ |  |

When $\mathrm{A} \gg \mathrm{Bx}$, must diagonalize $2 \times 2 \Omega=1 / 2$ sub-matrix. First subtract out center of gravity.
$\mathrm{E}-\mathrm{A} / 4+\mathrm{By}^{2} \mp \mathrm{~B}(\mathrm{y} / 2)+\left(\begin{array}{cc}-\mathrm{A} / 4 \pm \mathrm{By} / 2 & 2^{-1 / 2} \mathrm{~A} \\ \mathrm{sym} & \mathrm{A} / 4 \mp \mathrm{By} / 2\end{array}\right)\binom{\mathrm{e}}{\mathrm{f}}$
solve secular equation, eigenvalues are $\approx \pm 3 / 4 \mathrm{~A}$ when $\mathrm{A} \gg \mathrm{Bx} \approx \mathrm{By} 2$ (thus $\mathrm{A} \gg \mathrm{By}$ )

Get atom-like energy level patterns

(large A prevents $\Omega=1 / 2$ and $\Omega=3 / 2$ mixing). (Large spin-orbit destroys $\Lambda$.)
Case (d)
Consider a p-complex again, now in case (d) and let $\mathrm{A}=0$

$$
\beta=2^{1 / 2} B \quad E_{\Pi}=E_{\Sigma}=E
$$

| $\binom{\mathrm{e}}{\mathrm{f}}$ | ${ }^{2} \Pi_{3 / 2}$ | ${ }^{2} \Pi_{1 / 2}$ | ${ }^{2} \Sigma^{+}$ |
| :---: | :---: | :---: | :---: |
| ${ }^{2} \Pi_{3 / 2}$ | $\mathrm{E}+\mathrm{B}\left(\mathrm{y}^{2}-2\right)$ | $-\mathrm{B}\left(\mathrm{y}^{2}-1\right)^{1 / 2}$ | $-2^{1 / 2} \mathrm{~B}\left(\mathrm{y}^{2}-1\right)^{1 / 2}$ |
| ${ }^{2} \Pi_{1 / 2}$ | $\mathrm{E}+\mathrm{By}^{2}$ | $2^{1 / 2} \mathrm{~B}(1 \mp \mathrm{y})$ |  |
| ${ }^{2} \Sigma^{+}$ |  | $\mathrm{E}+\mathrm{By}(\mathrm{y} \mp 1)$ |  |

Simplify by first transforming ${ }^{2} \Pi$ block to case (b).
$\psi_{ \pm}=2^{-1 / 2}[|3 / 2\rangle \pm|1 / 2\rangle]( \pm$ is NOT parity $)$
$\mathbf{\Delta} \mathbf{J}=\mathbf{0}$ matrix elements:

$$
\begin{aligned}
& \left\langle\psi_{ \pm}\right| \widehat{\mathbf{H}}\left|\psi_{ \pm}\right\rangle=\mathrm{E}+\mathrm{B}\left(\mathrm{y}^{2}-1\right) \mp \mathrm{B}\left(\mathrm{y}^{2}-1\right)^{1 / 2} \\
& =\mathrm{E}+\mathrm{Bz}(\mathrm{z} \mp 1) \quad \mathrm{z} \equiv\left(\mathrm{y}^{2}-1\right)^{1 / 2} \approx \mathrm{y} \\
& \left\langle\psi_{ \pm}\right| \hat{\mathbf{H}}\left|\psi_{\mp}\right\rangle=-\mathrm{B} \\
& \left\langle\psi_{ \pm}\right| \widehat{\mathbf{H}}\left|\Sigma_{\mathrm{e}}^{+}\right\rangle=-\mathrm{B}\left[\left(\mathrm{y}^{2}-1\right)^{1 / 2} \pm(\mathrm{y}-1)\right] \approx-\mathrm{B}(\mathrm{y} \pm \mathrm{y}) \quad \text { (zero for }- \text { ) } \\
& \left\langle\psi_{ \pm}\right| \widehat{\mathbf{H}}\left|\Sigma_{f}^{+}\right\rangle \approx-B(\mathrm{y} \mp \mathrm{y}) \quad(\text { zero for }+ \text { ) } \\
& \begin{array}{cc}
+ \\
- \\
\sum
\end{array}\left(\begin{array}{cc}
\mathrm{E}+\mathrm{Bz}(\mathrm{z}-1) & -\mathrm{B} \\
-\mathrm{B} & \mathrm{E}+\mathrm{Bz}(\mathrm{z}+1)
\end{array}\right. \\
& \begin{array}{c}
-2 B y \\
0 \\
E+B y(y-1)
\end{array}
\end{aligned}
$$

Get groupings of same-R levels as follows.

(same R levels)
[total parity is $(-1)^{\mathrm{R}+1}$ ]
Can you draw correlation diagram?

$$
\text { case(c) } \quad \text { case(b) } \quad \text { case(d) }
$$

For Rydberg states

$$
\mathrm{N}=\mathrm{N}^{+}+\ell_{\mathrm{R}} \quad\left(\mathrm{~N}^{+} \text {is same as } \mathrm{R}\right)
$$

N is good quantum number (because spin-orbit is negligibly small) but $\mathrm{N}^{+}$is pattern-forming. We can determine N from "stacked plots", viewing same energy region from different, known-N intermediate levels. How do we determine $\mathrm{N}^{+}\left(\right.$and $\left.\ell_{\mathrm{R}}\right)$ from patterns in the spectrum?

$$
\begin{aligned}
& \mathrm{N}=\mathrm{N}^{+}+\ell_{\mathrm{R}} \quad\left(\ell_{\mathrm{R}} \text { is projection of } \ell \text { on } \mathrm{R} \equiv \mathrm{~N}^{+} \text {here }\right) \\
& \begin{aligned}
\mathrm{E}\left(\mathrm{~N}^{+}\right)=\mathrm{BN}^{+}\left(\mathrm{N}^{+}+1\right) & =\mathrm{B}\left[\left(\mathrm{~N}-\ell_{\mathrm{R}}\right)\left(\mathrm{N}-\ell_{\mathrm{R}}+1\right)\right] \\
& =\mathrm{B}\left[\mathrm{~N}(\mathrm{~N}+1)-2 \mathrm{~N} \ell_{\mathrm{R}}+\ell_{\mathrm{R}}^{2}-\ell_{\mathrm{R}}\right]
\end{aligned}
\end{aligned}
$$

Know N from spectroscopic selection rules. Know B from ion-core B-value.
Plot $\mathrm{E}-\mathrm{BN}(\mathrm{N}+1)$ vs. N . Get straight line plot of slope $-2 \mathrm{~B} \ell_{\mathrm{R}}$. Knowing N and $\ell_{\mathrm{R}}$, know $\mathrm{N}^{+}$.

