

Lecture #9: Harmonic Oscillator: Creation and Annihilation Operators

Last time

Simplified Schrödinger equation: $\xi = \alpha^{1/2}x$, $\alpha = (k\mu)^{1/2}/\hbar$

$$\left[-\frac{\partial^2}{\partial \xi^2} + \xi^2 - \frac{2E}{\hbar\omega} \right] \psi = 0 \quad (\text{dimensionless})$$

reduced to Hermite differential equation by factoring out asymptotic form of ψ . The asymptotic ψ is valid as $\xi^2 \rightarrow \infty$. The exact ψ_v is

$$\psi_v(x) = N_v \overset{\text{Hermite polynomials}}{H_v(\xi)} e^{-\xi^2/2} \quad v = 0, 1, 2, \dots, \infty$$

orthonormal set of basis functions

$$E_v = \hbar\omega(v + 1/2), \quad v = 0, 1, 2, \dots$$

even v , even function

odd v , odd function

$$v = \# \text{ of internal nodes}$$

what do you expect about $\langle \hat{T} \rangle$? $\langle \hat{V} \rangle$? (from classical mechanics)

pictures

- * zero-point energy
- * tails in non-classical regions
- * nodes more closely spaced near $x = 0$ where classical velocity is largest
- * envelope (what is this? maxima of all oscillations)
- * semiclassical: good for pictures, insight, estimates of $\int \psi_v^* \hat{O} p \psi_v$ integrals without solving Schrödinger equation

$$p_E(x) = p_{\text{classical}}(x) = [2\mu(E - V(x))]^{1/2}$$

envelope of $\psi(x)$ in classical region (classical mechanics)

$$\left(\psi^* \psi dx \propto \frac{1}{\underset{\text{velocity}}{v}}, |\psi(x)|_{\text{envelope}} = 2^{1/2} \left[\frac{2k/\pi^2}{E - V(x)} \right]^{1/4} \text{ for H. O.} \right)$$

spacing of nodes (quantum mechanics): # nodes between x_1 and x_2 is

$$\frac{2}{h} \int_{x_1}^{x_2} p_E(x) dx \quad (\text{because } \lambda(x) = h/p(x) \text{ and node spacing is } \lambda/2)$$

$$\# \text{ of levels below } E: \frac{2}{h} \int_{x_-(E)}^{x_+(E)} p_E(x) dx \quad \text{“Semi-classical quantization rule”}$$

“Action (h) integral.”

Non-Lecture

Intensities of Vibrational fundamentals and overtones from

$$\mu(x) = \mu_0 + \mu_1 x + \frac{1}{2} \mu_2 x^2 + \dots$$

$$\int dx \psi_v^* x^n \psi_{v+m} \quad \text{“selection rules”}$$

$$m = n, n - 2, \dots -n$$

Today some amazing results from $\hat{\mathbf{a}}^\dagger, \hat{\mathbf{a}}$ (creation and annihilation operators)

- * dimensionless $\hat{x}, \hat{p} \rightarrow$ exploit universal aspects of problem — *separate universal from specific* $\rightarrow \hat{\mathbf{a}}, \hat{\mathbf{a}}^\dagger$ annihilation/creation or “ladder” or “step-up” operators
- * integral- and wavefunction-free Quantum Mechanics
- * all E_v and ψ_v for Harmonic Oscillator using $\hat{\mathbf{a}}, \hat{\mathbf{a}}^\dagger$
- * values of integrals involving all integer powers of \hat{x} and/or \hat{p}
- * “selection rules”
- * integrals evaluated on sight rather than by using integral tables.

1. Create dimensionless \hat{x} and \hat{p} operators from \hat{x} and \hat{p}

$$\hat{x} = \left[\frac{\hbar}{\mu\omega} \right]^{1/2} \hat{\tilde{x}}, \quad \text{units} = \left[\frac{m\ell^2 t^{-1}}{m t^{-1}} \right]^{1/2} = \ell \quad \left(\text{recall } \xi = \alpha^{1/2} x = \left[\frac{k\mu}{\hbar^2} \right]^{1/4} x \right)$$

$$\hat{p} = [\hbar\mu\omega]^{1/2} \hat{\tilde{p}}, \quad \text{units} = [m\ell^2 t^{-1} m t^{-1}]^{1/2} = m\ell t^{-1} = p$$

replace \hat{x} and \hat{p} by dimensionless operators

$$\hat{H} = \frac{\hat{p}^2}{2\mu} + \frac{1}{2} k \hat{x}^2 = \frac{\hbar\mu\omega}{2\mu} \hat{\tilde{p}}^2 + \frac{k}{2} \frac{\hbar}{m\omega} \hat{\tilde{x}}^2$$

$$= \frac{\hbar\omega}{2} [\hat{\tilde{p}}^2 + \hat{\tilde{x}}^2] \quad \text{factor this?}$$

$$= \frac{\hbar\omega}{2} [(i\hat{\tilde{p}} + \hat{\tilde{x}})(-i\hat{\tilde{p}} + \hat{\tilde{x}})]?$$

$$\begin{array}{cc} \downarrow & \downarrow \\ 2^{1/2} \hat{\mathbf{a}} & 2^{1/2} \hat{\mathbf{a}}^\dagger \end{array}$$

does this work? No, this attempt at factorization generates a term $i[\hat{\tilde{p}}, \hat{\tilde{x}}]$, which must be subtracted

$$\text{out: } \hat{H} = \frac{\hbar\omega}{2} \left(2\hat{\mathbf{a}}\hat{\mathbf{a}} - i \underbrace{[\hat{\tilde{p}}, \hat{\tilde{x}}]}_{=-i} \right)$$

$$\begin{aligned}\hat{\mathbf{a}} &= 2^{-1/2} (\hat{x} + i\hat{p}) \\ \hat{\mathbf{a}}^\dagger &= 2^{-1/2} (\hat{x} - i\hat{p}) \\ \hat{x} &= 2^{-1/2} (\hat{\mathbf{a}} + \hat{\mathbf{a}}^\dagger) \\ \hat{p} &= i2^{-1/2} (\hat{\mathbf{a}}^\dagger - \hat{\mathbf{a}})\end{aligned}$$

be careful about $[\hat{x}, \hat{p}] \neq 0$

We will find that

$$\begin{aligned}\hat{\mathbf{a}}\psi_\nu &= (\nu)^{1/2} \psi_{\nu-1} && \text{annihilates one quantum} \\ \hat{\mathbf{a}}^\dagger\psi_\nu &= (\nu+1)^{1/2} \psi_{\nu+1} && \text{creates one quantum} \\ \hat{H} &= \hbar\omega(\hat{\mathbf{a}}\hat{\mathbf{a}}^\dagger - 1/2) = \hbar\omega(\hat{\mathbf{a}}^\dagger\hat{\mathbf{a}} + 1/2).\end{aligned}$$

This is astonishingly convenient. It presages a form of operator algebra that proceeds without ever looking at the form of $\psi(x)$ and does not require direct evaluation of integrals of the form

$$A_{ij} = \int dx \psi_i^* \hat{A} \psi_j.$$

2. Now we must go back and repair our attempt to factor \hat{H} for the harmonic oscillator.

Instructive examples of operator algebra.

* What is $(i\hat{p} + \hat{x})(-i\hat{p} + \hat{x})$?

$$\hat{p}^2 + \hat{x}^2 + \underbrace{i\hat{p}\hat{x} - i\hat{x}\hat{p}}_{i[\hat{p}, \hat{x}]}$$

Recall $[\hat{p}, \hat{x}] = -i\hbar$. (work this out by $\hat{p}\hat{x}f - \hat{x}\hat{p}f = [\hat{p}, \hat{x}]f$).

What is $i[\hat{p}, \hat{x}]$?

$$\begin{aligned}i[\hat{p}, \hat{x}] &= i[\hbar m\omega]^{-1/2} \left[\frac{\hbar}{m\omega} \right]^{-1/2} [\hat{p}, \hat{x}] \\ &= i[\hbar^2]^{-1/2} (-i\hbar) = +1.\end{aligned}$$

So we were *not quite* successful in factoring \hat{H} . We have to subtract $(1/2)\hbar\omega$:

$$\hat{H} = \hbar\omega \left(\hat{\mathbf{a}}\hat{\mathbf{a}}^\dagger - \frac{1}{2} \right)$$

This form for \hat{H} is going to turn out to be very useful.

* Another trick, what about $[\hat{\mathbf{a}}, \hat{\mathbf{a}}^\dagger] = ?$

$$\begin{aligned} [\hat{\mathbf{a}}, \hat{\mathbf{a}}^\dagger] &= [2^{-1/2}(i\hat{p} + \hat{x}), 2^{-1/2}(-i\hat{p} + \hat{x})] = \frac{i}{2}[\hat{p}, \hat{x}] + \frac{-i}{2}[\hat{x}, \hat{p}] \\ &= \frac{1}{2} + \frac{1}{2} = 1. \end{aligned}$$

So we have some nice results. $\hat{H} = \hbar\omega \left[\hat{\mathbf{a}}^\dagger \hat{\mathbf{a}} + \frac{1}{2} \right] = \hbar\omega \left[\hat{\mathbf{a}}\hat{\mathbf{a}}^\dagger - \frac{1}{2} \right]$

3. Now we will derive some amazing results *almost* without ever looking at a wavefunction.

If ψ_v is an eigenfunction of \hat{H} with energy E_v , then $\hat{a}^\dagger \psi_v$ is an eigenfunction of \hat{H} belonging to eigenvalue $E_v + \hbar\omega$.

$$\begin{aligned}\hat{H}(\hat{a}^\dagger \psi_v) &= \hbar\omega \left[\hat{a}^\dagger \hat{a} + \frac{1}{2} \right] \hat{a}^\dagger \psi_v \\ &= \hbar\omega \left[\hat{a}^\dagger \hat{a} \hat{a}^\dagger + \frac{1}{2} \hat{a}^\dagger \right] \psi_v \\ \text{Factor } \hat{a}^\dagger \text{ out front} \\ &= \hat{a}^\dagger \hbar\omega \left[\hat{a} \hat{a}^\dagger + \frac{1}{2} \right] \psi_v \\ \hat{a} \hat{a}^\dagger &= [\hat{a}, \hat{a}^\dagger] + \hat{a}^\dagger \hat{a} = 1 + \hat{a}^\dagger \hat{a} \\ \hat{H}(\hat{a}^\dagger \psi_v) &= \hat{a}^\dagger \hbar\omega \underbrace{\left[\hat{a}^\dagger \hat{a} + 1 + \frac{1}{2} \right]}_{\hat{H} + \hbar\omega} \psi_v\end{aligned}$$

and $\hat{H}\psi_v = E_v \psi_v$, thus

$$\hat{H}(\hat{a}^\dagger \psi_v) = \hat{a}^\dagger (E_v + \hbar\omega) \psi_v = (E_v + \hbar\omega)(\hat{a}^\dagger \psi_v)$$

Therefore $\hat{a}^\dagger \psi_v$ is eigenfunction of \hat{H} with eigenvalue $E_v + \hbar\omega$.

So every time we apply \hat{a}^\dagger to ψ_v , we get a new eigenfunction of \hat{H} and a new eigenvalue increased by $\hbar\omega$ from the previous eigenfunction. \hat{a}^\dagger *creates* one quantum of vibrational excitation.

Similar result for $\hat{a} \psi_v$.

$$\hat{H}(\hat{a} \psi_v) = (E_v - \hbar\omega)(\hat{a} \psi_v).$$

$\hat{a} \psi_v$ is eigenfunction of \hat{H} that belongs to eigenvalue $E_v - \hbar\omega$. \hat{a} destroys one quantum of vibrational excitation.

We call \hat{a}^\dagger, \hat{a} “ladder operators” or creation and annihilation operators (or step-up, step-down).

Now, suppose I apply \hat{a} to ψ_v many times. We know there must be a lowest energy eigenstate for the harmonic oscillator because $E_v \geq V(0)$.

We have a ladder and we know there must be a lowest rung on the ladder. If we try to step below the lowest rung we get

$$\hat{a} \psi_{\min} = 0$$

$$2^{-1/2} [i\hat{p} + \hat{x}] \psi_{\min} = 0$$

Now we bring \hat{x} and \hat{p} back.

$$\left[i(2\hbar\mu\omega)^{-1/2} \hat{p} + \left(\frac{\mu\omega}{2\hbar} \right)^{1/2} \hat{x} \right] \psi_{\min} = 0$$

$$\left[+ \left(\frac{\hbar}{2\mu\omega} \right)^{1/2} \frac{d}{dx} + \left(\frac{\mu\omega}{2\hbar} \right)^{1/2} x \right] \psi_{\min} = 0$$

$$\frac{d\psi_{\min}}{dx} = - \left(\frac{2\mu\omega}{\hbar} \right)^{1/2} \left(\frac{\mu\omega}{2\hbar} \right)^{1/2} x \psi_{\min}$$

$$= - \frac{\mu\omega}{\hbar} x \psi_{\min}.$$

This is a first-order, linear, ordinary differential equation.

What kind of function has a first derivative that is equal to a negative constant times the variable times the function itself?

$$\frac{de^{-cx^2}}{dx} = -2cxe^{-cx^2}$$

$$c = \frac{\mu\omega}{2\hbar}$$

$$\psi_{\min} = N_{\min} e^{-\frac{\mu\omega}{2\hbar}x^2}. \quad \text{(A Gaussian)}$$

The lowest vibrational level has eigenfunction, $\psi_{\min}(x)$, which is a simple Gaussian, centered at $x = 0$, and with tails extending into the classically forbidden $E < V(x)$ regions.

Now normalize:

$$\int_{-\infty}^{\infty} dx \underbrace{\Psi_{\min}^* \Psi_{\min}}_{\substack{\text{give factor of} \\ 2 \text{ in exponent}}} = 1 = N_{\min}^2 \underbrace{\int_{-\infty}^{\infty} dx e^{-\frac{\mu\omega}{\hbar}x^2}}_{\substack{\pi^{1/2} \\ (\mu\omega/\hbar)^{1/2}}}$$

$$\Psi_{\min}(x) = \left(\frac{\mu\omega}{\pi\hbar}\right)^{1/4} e^{-\frac{\mu\omega}{2\hbar}x^2}$$

[recall asymptotic factor of $\psi(x)$: $e^{-\xi^2/2}$]

This is the lowest energy normalized wavefunction. It has zero nodes.

NON-LECTURE

Gaussian integrals

$$\int_0^{\infty} dx e^{-r^2x^2} = \frac{\pi^{1/2}}{2r}$$

$$\int_0^{\infty} dx x e^{-r^2x^2} = \frac{1}{2r^2}$$

$$\int_0^{\infty} dx x^2 e^{-r^2x^2} = \frac{\pi^{1/2}}{4r^3}$$

$$\int_0^{\infty} dx x^{2n+1} e^{-r^2x^2} = \frac{n!}{2r^{2n+2}}$$

$$\int_0^{\infty} dx x^{2n} e^{-r^2x^2} = \pi^{1/2} \frac{1 \cdot 3 \cdot 5 \cdots (2n-1)}{2^{n+1} r^{2n+1}}$$

By inspection, using dimensional analysis, all of these integrals seem OK.

We need to clean up a few loose ends.

1. Could there be several independent ladders built on linearly independent Ψ_{\min_1} , Ψ_{\min_2} ?

Assertion: for any 1-D potential it is possible to show that the energy eigenfunctions are arranged so that the quantum numbers increase in step with the number of internal nodes.

particle in box $n = 1, 2, \dots$

nodes = 0, 1, \dots , which translates into the general rule

nodes = $n - 1$

harmonic oscillator $v = 0, 1, 2, \dots$

nodes = v

We have found a ψ_{\min} that has zero nodes. It must be the lowest energy eigenstate. Call it $v = 0$.

2. What is the lowest energy? We know that energy increases in steps of $\hbar\omega$.

$$E_{v+n} - E_v = n\hbar\omega.$$

We get the energy of ψ_{\min} by plugging ψ_{\min} into the Schrödinger equation.

BUT WE USE A TRICK:

$$\hat{H} = \hbar\omega \left(\hat{\mathbf{a}}^\dagger \hat{\mathbf{a}} + \frac{1}{2} \right)$$

$$\hat{H}\psi_{\min} = \hbar\omega \left(\hat{\mathbf{a}}^\dagger \hat{\mathbf{a}} + \frac{1}{2} \right) \psi_{\min}$$

$$\text{but } \hat{\mathbf{a}}\psi_{\min} = 0$$

$$\text{so } \hat{H}\psi_{\min} = \hbar\omega \left(0 + \frac{1}{2} \right) \psi_{\min}$$

$$E_{\min} = \frac{1}{2} \hbar\omega!$$

Now we also know

$$E_{\min+n} - E_{\min} = n\hbar\omega$$

OR

$$E_{0+v} - E_0 = v\hbar\omega, \text{ thus } E_v = \hbar\omega(v + 1/2)$$

NON-LECTURE

3. We know

$$\hat{\mathbf{a}}^\dagger \psi_v = c_v \psi_{v+1}$$

$$\hat{\mathbf{a}} \psi_v = d_v \psi_{v-1}$$

what are c_v and d_v ?

$$\widehat{H} = \hbar\omega \left(\widehat{\mathbf{a}}^\dagger \widehat{\mathbf{a}} + \frac{1}{2} \right) = \hbar\omega \left(\widehat{\mathbf{a}} \widehat{\mathbf{a}}^\dagger - \frac{1}{2} \right)$$

$$\frac{\widehat{H}}{\hbar\omega} - \frac{1}{2} = \widehat{\mathbf{a}}^\dagger \widehat{\mathbf{a}}, \quad \frac{\widehat{H}}{\hbar\omega} + \frac{1}{2} = \widehat{\mathbf{a}} \widehat{\mathbf{a}}^\dagger$$

$$\left(\frac{\widehat{H}}{\hbar\omega} - \frac{1}{2} \right) \psi_\nu = \left(\nu + \frac{1}{2} - \frac{1}{2} \right) \psi_\nu = \widehat{\mathbf{a}}^\dagger \widehat{\mathbf{a}} \psi_\nu$$

$$\widehat{\mathbf{a}}^\dagger \widehat{\mathbf{a}} \psi_\nu = \nu \psi_\nu$$

$\widehat{\mathbf{a}}^\dagger \widehat{\mathbf{a}}$ is “number operator”, \widehat{N} .

for $\widehat{\mathbf{a}} \widehat{\mathbf{a}}^\dagger$ we use the trick

$$\widehat{\mathbf{a}} \widehat{\mathbf{a}}^\dagger = \widehat{\mathbf{a}}^\dagger \widehat{\mathbf{a}} + [\widehat{\mathbf{a}}, \widehat{\mathbf{a}}^\dagger] = \widehat{N} + 1$$

Now $\int dx \psi_\nu^* \widehat{\mathbf{a}} \widehat{\mathbf{a}}^\dagger \psi_\nu = \int dx |\widehat{\mathbf{a}}^\dagger \psi_\nu|^2$ because $\widehat{\mathbf{a}} \widehat{\mathbf{a}}^\dagger$ is Hermitian

Prescription for operating to the left is $\psi_\nu^* \widehat{\mathbf{a}} = (\widehat{\mathbf{a}}^* \psi_\nu)^* = (\widehat{\mathbf{a}}^\dagger \psi_\nu)^*$

$$\nu + 1 = |c_\nu|^2$$

$$c_\nu = [\nu + 1]^{1/2}$$

similarly for d_ν in $\widehat{\mathbf{a}} \psi_\nu = d_\nu \psi_{\nu-1}$

$$\int dx \psi_\nu^* \widehat{\mathbf{a}}^\dagger \widehat{\mathbf{a}} \psi_\nu = \nu$$

Make phase choice and then verify by putting in \hat{x} and \hat{p} .

$$\int dx |\widehat{\mathbf{a}} \psi_\nu|^2 = |d_\nu|^2$$

$$d_\nu = \nu^{1/2}$$

Again, verify phase choice

$$\begin{aligned}\hat{\mathbf{a}}^\dagger \psi_\nu &= (\nu + 1)^{1/2} \psi_{\nu+1} \\ \hat{\mathbf{a}} \psi_\nu &= (\nu)^{1/2} \psi_{\nu-1} \\ \hat{N} &= \hat{\mathbf{a}}^\dagger \hat{\mathbf{a}} \\ \hat{N} \psi_\nu &= \nu \psi_\nu \\ [\hat{\mathbf{a}}, \hat{\mathbf{a}}^\dagger] &= 1\end{aligned}$$

Remember these five exceptionally important equations!

Now we are ready to exploit the $\hat{\mathbf{a}}^\dagger, \hat{\mathbf{a}}$ operators.

Suppose we want to look at vibrational transition intensities.

$$\mu(x) = \mu_0 + \mu_1 \hat{x} + \mu_2 \hat{x}^2 / 2 + \dots$$

use $\hat{\mathbf{a}}, \hat{\mathbf{a}}^\dagger$

More generally, suppose we want to compute an integral involving some integer power of \hat{x} (or \hat{p}).

$$\hat{\mathbf{a}}^\dagger = 2^{-1/2} (-i\hat{p} + \hat{x})$$

$$\hat{\mathbf{a}} = 2^{-1/2} (i\hat{p} + \hat{x})$$

$$\hat{N} = \hat{\mathbf{a}}^\dagger \hat{\mathbf{a}} \quad (\text{number operator})$$

$$\hat{x} = 2^{-1/2} (\hat{\mathbf{a}}^\dagger + \hat{\mathbf{a}})$$

$$\hat{p} = 2^{-1/2} i(\hat{\mathbf{a}}^\dagger - \hat{\mathbf{a}})$$

$$\hat{x} = \left[\frac{\mu\omega}{\hbar} \right]^{-1/2} \hat{x} = \left[\frac{2\mu\omega}{\hbar} \right]^{-1/2} (\hat{\mathbf{a}}^\dagger + \hat{\mathbf{a}})$$

$$\hat{p} = [\hbar\mu\omega]^{1/2} \hat{p} = \left[\frac{\hbar\mu\omega}{2} \right]^{1/2} i(\hat{\mathbf{a}}^\dagger - \hat{\mathbf{a}})$$

$$\widehat{x^2} = \frac{\hbar}{2\mu\omega}(\hat{\mathbf{a}}^\dagger + \hat{\mathbf{a}})(\hat{\mathbf{a}}^\dagger + \hat{\mathbf{a}}) = \frac{\hbar}{2\mu\omega}[\hat{\mathbf{a}}^{\dagger 2} + \hat{\mathbf{a}}^2 + \hat{\mathbf{a}}^\dagger\hat{\mathbf{a}} + \hat{\mathbf{a}}\hat{\mathbf{a}}^\dagger] = \frac{\hbar}{2\mu\omega}[\hat{\mathbf{a}}^{\dagger 2} + \hat{\mathbf{a}}^2 + 2\hat{\mathbf{a}}^\dagger\hat{\mathbf{a}} + 1]$$

$$\widehat{p^2} = -\frac{\hbar\mu\omega}{2}(\hat{\mathbf{a}}^{\dagger 2} + \hat{\mathbf{a}}^2 - \hat{\mathbf{a}}^\dagger\hat{\mathbf{a}} - \hat{\mathbf{a}}\hat{\mathbf{a}}^\dagger) = \frac{-\hbar\mu\omega}{2}[\hat{\mathbf{a}}^{\dagger 2} + \hat{\mathbf{a}}^2 - 2\hat{\mathbf{a}}^\dagger\hat{\mathbf{a}} - 1]$$

etc.

$$\widehat{H} = \frac{\widehat{p^2}}{2\mu} + \frac{k}{2}\widehat{x^2} = -\frac{\hbar\omega}{4}(\hat{\mathbf{a}}^{\dagger 2} + \hat{\mathbf{a}}^2 - 2\hat{\mathbf{a}}^\dagger\hat{\mathbf{a}} - 1) + \frac{\hbar\omega}{4}(\hat{\mathbf{a}}^{\dagger 2} + \hat{\mathbf{a}}^2 + 2\hat{\mathbf{a}}^\dagger\hat{\mathbf{a}} + 1) = \hbar\omega(\hat{\mathbf{a}}^\dagger\hat{\mathbf{a}} + 1/2)$$

as expected. The terms in \widehat{H} involving $\hat{\mathbf{a}}^{\dagger 2} + \hat{\mathbf{a}}^2$ exactly cancel out.

Look at an $(\hat{\mathbf{a}}^\dagger)^m(\hat{\mathbf{a}})^n$ operator and, from $m - n$, read off the selection rule for Δv . Integral is not zero when the selection rule is satisfied.

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