## Lecture 14 and 15: Algebraic approach to the SHO

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## 1 Algebraic Solution of the Oscillator

We have already seen how to calculate energy eigenstates for the simple harmonic oscillator by solving a second-order differential equation, the time-independent Schrödinger equation.

Let us now try to factorize the harmonic oscillator Hamiltonian. By this we mean, roughly, writing the Hamiltonian as the product of an operator times its Hermitian conjugate. As a first step we rewrite the Hamiltonian as

$$
\begin{equation*}
\hat{H}=\frac{1}{2} m \omega^{2}\left(\hat{x}^{2}+\frac{\hat{p}^{2}}{m^{2} \omega^{2}}\right) . \tag{1.1}
\end{equation*}
$$

Motivated by the identity $a^{2}+b^{2}=(a-i b)(a+i b)$, holding for numbers $a$ and $b$, we examine if the expression in parenthesis can be written as a product

$$
\begin{align*}
\left(\hat{x}-\frac{i \hat{p}}{m \omega}\right)\left(\hat{x}+\frac{i \hat{p}}{m \omega}\right) & =\hat{x}^{2}+\frac{\hat{p}^{2}}{m^{2} \omega^{2}}+\frac{i}{m \omega}(\hat{x} \hat{p}-\hat{p} \hat{x})  \tag{1.2}\\
& =\hat{x}^{2}+\frac{\hat{p}^{2}}{m^{2} \omega^{2}}-\frac{\hbar}{m \omega} \mathbf{1}
\end{align*}
$$

where the extra terms arise because $\hat{x}$ and $\hat{p}$, as opposed to numbers, do not commute. We now define the right-most factor in the above product to be $V$ :

$$
\begin{equation*}
V \equiv \hat{x}+\frac{i \hat{p}}{m \omega} \tag{1.3}
\end{equation*}
$$

Since $\hat{x}$ and $\hat{p}$ are Hermitian operators, we then have

$$
\begin{equation*}
V^{\dagger}=\hat{x}-\frac{i \hat{p}}{m \omega}, \tag{1.4}
\end{equation*}
$$

and this is the left-most factor in the product! We can therefore rewrite (1.2) as

$$
\begin{equation*}
\hat{x}^{2}+\frac{\hat{p}^{2}}{m^{2} \omega^{2}}=V^{\dagger} V+\frac{\hbar}{m \omega} \mathbf{1}, \tag{1.5}
\end{equation*}
$$

and therefore back in the Hamiltonian (1.1) we find,

$$
\begin{equation*}
\hat{H}=\frac{1}{2} m \omega^{2} V^{\dagger} V+\frac{1}{2} \hbar \omega \mathbf{1} \tag{1.6}
\end{equation*}
$$

This is a factorized form of the Hamiltonian: up to an additive constant $E_{0}, \hat{H}$ is the product of a positive constant times the operator product $V^{\dagger} V$. We note that the commutator of $V$ and $V^{\dagger}$ is simple

$$
\begin{equation*}
\left[V, V^{\dagger}\right]=\left[\hat{x}+\frac{i \hat{p}}{m \omega}, \hat{x}-\frac{i \hat{p}}{m \omega}\right]=-\frac{i}{m \omega}[\hat{x}, \hat{p}]+\frac{i}{m \omega}[\hat{p}, \hat{x}]=\frac{2 \hbar}{m \omega} \mathbf{1} . \tag{1.7}
\end{equation*}
$$

This implies that

$$
\begin{equation*}
\left[\sqrt{\frac{m \omega}{2 \hbar}} V, \sqrt{\frac{m \omega}{2 \hbar}} V^{\dagger}\right]=1 \tag{1.8}
\end{equation*}
$$

This suggests the definition of unit-free operator operators $\hat{a}$ and $\hat{a}^{\dagger}$ :

$$
\begin{align*}
\hat{a} & \equiv \sqrt{\frac{m \omega}{2 \hbar}} V \\
\hat{a}^{\dagger} & \equiv \sqrt{\frac{m \omega}{2 \hbar}} V^{\dagger} \tag{1.9}
\end{align*}
$$

Due to the scaling we have

$$
\begin{equation*}
\left[\hat{a}, \hat{a}^{\dagger}\right]=\mathbf{1} . \tag{1.10}
\end{equation*}
$$

The operator $\hat{a}$ is called annihilation operator and $\hat{a}^{\dagger}$ is called a creation operator. The justification for these names will be seen below. From the above definitions we read the relations between $\left(\hat{a}, \hat{a}^{\dagger}\right)$ and $(\hat{x}, \hat{p})$ :

$$
\begin{align*}
\hat{a} & =\sqrt{\frac{m \omega}{2 \hbar}}\left(\hat{x}+\frac{i \hat{p}}{m \omega}\right),  \tag{1.11}\\
\hat{a}^{\dagger} & =\sqrt{\frac{m \omega}{2 \hbar}}\left(\hat{x}-\frac{i \hat{p}}{m \omega}\right) .
\end{align*}
$$

The inverse relations are many times useful as well,

$$
\begin{align*}
& \hat{x}=\sqrt{\frac{\hbar}{2 m \omega}}\left(\hat{a}+\hat{a}^{\dagger}\right),  \tag{1.12}\\
& \hat{p}=i \sqrt{\frac{m \omega \hbar}{2}}\left(\hat{a}^{\dagger}-\hat{a}\right)
\end{align*}
$$

While neither $\hat{a}$ nor $\hat{a}^{\dagger}$ is hermitian (they are hermitian conjugates of each other), the above equations are consistent with the hermiticity of $\hat{x}$ and $\hat{p}$. We can now write the Hamiltonian in terms of the $\hat{a}$ and $\hat{a}^{\dagger}$ operators. Using (1.9) we have

$$
\begin{equation*}
V^{\dagger} V=\frac{2 \hbar}{m \omega} \hat{a}^{\dagger} \hat{a} \tag{1.13}
\end{equation*}
$$

and therefore back in (1.6) we get

$$
\begin{equation*}
\hat{H}=\hbar \omega\left(\hat{a}^{\dagger} \hat{a}+\frac{1}{2}\right)=\hbar \omega\left(\hat{N}+\frac{1}{2}\right), \quad \hat{N} \equiv \hat{a}^{\dagger} \hat{a} . \tag{1.14}
\end{equation*}
$$

The above form of the Hamiltonian is factorized: up to an additive constant $\hat{H}$ is the product of a positive constant times the operator product $\hat{a}^{\dagger} \hat{a}$. In here we have dropped the identity operator, which
is usually understood. We have also introduced the number operator $\hat{N}$. This is, by construction, a hermitian operator and it is, up to a scale and an additive constant, equal to the Hamiltonian. An eigenstate of $\hat{H}$ is also an eigenstate of $\hat{N}$ and it follows from the above relation that the respective eigenvalues $E$ and $N$ are related by

$$
\begin{equation*}
E=\hbar \omega\left(N+\frac{1}{2}\right) \tag{1.15}
\end{equation*}
$$

Let us now show the powerful conclusions that arise from the factorized Hamiltonian. On any state $\psi$ that is normalized we have

$$
\begin{equation*}
\langle\hat{H}\rangle_{\psi}=(\psi, \hat{H} \psi)=\hbar \omega\left(\psi, \hat{a}^{\dagger} \hat{a} \psi\right)+\frac{1}{2} \hbar \omega(\psi, \psi) \tag{1.16}
\end{equation*}
$$

and moving the $\hat{a}^{\dagger}$ to the first input, we get

$$
\begin{equation*}
\langle\hat{H}\rangle_{\psi}=\hbar \omega(\hat{a} \psi, \hat{a} \psi)+\frac{1}{2} \hbar \omega \geq \frac{1}{2} \hbar \omega . \tag{1.17}
\end{equation*}
$$

The inequality follows because any expression of the form $(\varphi, \varphi)$ is greater than or equal to zero. This shows that for any energy eigenstate with energy $E: \hat{H} \psi=E \psi$ we have

$$
\begin{equation*}
\text { Energy eigenstates: } E \geq \frac{1}{2} \hbar \omega \tag{1.18}
\end{equation*}
$$

This important result about the spectrum followed directly from the factorization of the Hamiltonian. But we also get the information required to find the ground state wavefunction. The minimum energy $\frac{1}{2} \hbar \omega$ will be realized for a state $\psi$ if the term $(\hat{a} \psi, \hat{a} \psi)$ in (1.17) vanishes. For this to vanish $\hat{a} \psi$ must vanish. Therefore, the ground state wavefunction $\varphi_{0}$ must satisfy

$$
\begin{equation*}
\hat{a} \varphi_{0}=0 . \tag{1.19}
\end{equation*}
$$

The operator $\hat{a}$ annihilates the ground state and this why $\hat{a}$ is called the annihilation operator. Using the definition of $\hat{a}$ in (1.11) and the position space representation of $\hat{p}$, this becomes

$$
\begin{equation*}
\left(x+\frac{i}{m \omega} \frac{\hbar}{i} \frac{d}{d x}\right) \varphi_{0}(x)=0 \quad \rightarrow \quad\left(x+\frac{\hbar}{m \omega} \frac{d}{d x}\right) \varphi_{0}(x)=0 . \tag{1.20}
\end{equation*}
$$

Remarkably, this is a first order differential equation for the ground state. Not a second order equation, like the Schrödinger equation that determines the general energy eigenstates. This is a dramatic simplification afforded by the factorization of the Hamiltonian into a product of first-order differential operators. The above equation is rearranged as

$$
\begin{equation*}
\frac{d \varphi_{0}}{d x}=-\frac{m \omega}{\hbar} x \varphi_{0} \tag{1.21}
\end{equation*}
$$

Solving this differential equation yields

$$
\begin{equation*}
\varphi_{0}(x)=\left(\frac{m \omega}{\pi \hbar}\right)^{\frac{1}{4}} e^{-\frac{m \omega}{2 \hbar} x^{2}} \tag{1.22}
\end{equation*}
$$

where we included a normalization constant to guarantee that $\left(\varphi_{0}, \varphi_{0}\right)=1$. Note that $\varphi_{0}$ is indeed an energy eigenstate with energy $E_{0}$ :

$$
\begin{equation*}
\hat{H} \varphi_{0}=\hbar \omega\left(\hat{a}^{\dagger} \hat{a}+\frac{1}{2}\right) \varphi_{0}=\frac{1}{2} \hbar \omega \varphi_{0} \quad \rightarrow \quad E_{0}=\frac{1}{2} \hbar \omega \tag{1.23}
\end{equation*}
$$

Before proceeding with the analysis of excited states, let us view the properties of factorization more generally. Factorizing a Hamiltonian means finding an operator $\hat{A}$ such that we can rewrite the Hamiltonian as $\hat{A}^{\dagger} A$ up to an additive constant. Here $\hat{A}^{\dagger}$ is the Hermitian conjugate of $\hat{A}$, an operator that is defined by

$$
\begin{equation*}
\left(\psi, \hat{A}^{\dagger} \varphi\right)=(\hat{A} \psi, \varphi) . \tag{1.24}
\end{equation*}
$$

We say that we have factorized a Hamiltonian $\hat{H}$ if we can find a $\hat{A}$ for which

$$
\begin{equation*}
\hat{H}=\hat{A}^{\dagger} \hat{A}+E_{0} \mathbf{1}, \tag{1.25}
\end{equation*}
$$

where $E_{0}$ is a constant with units of energy that multiplies the identity operator. This constant does not complicate our task of finding the eigenstates of the Hamiltonian, nor their energies: any eigenfunction of $\hat{A}^{\dagger} \hat{A}$ is an eigenfunction of $\hat{H}$. Two key properties follow from the factorization (1.25).

1. Any energy eigenstate must have energy greater than or equal to $E_{0}$. First note that for an arbitrary normalized $\psi(x)$ we have

$$
\begin{equation*}
(\psi, \hat{H} \psi)=\left(\psi, \hat{A}^{\dagger} \hat{A} \psi\right)+E_{0}(\psi, \psi)=(\hat{A} \psi, \hat{A} \psi)+E_{0} \tag{1.26}
\end{equation*}
$$

Since the overlap $(\hat{A} \psi, \hat{A} \psi)$ is greater than or equal to zero, we have shown that

$$
\begin{equation*}
(\psi, \hat{H} \psi) \geq E_{0} \tag{1.27}
\end{equation*}
$$

If we take $\psi$ to be an energy eigenstate of energy $E: \hat{H} \psi=E \psi$, the above relation gives

$$
\begin{equation*}
E \geq E_{0} . \tag{1.28}
\end{equation*}
$$

This shows, as claimed, that all possible energies are greater than or equal to $E_{0}$.
2. A wavefunction $\psi_{0}$ that satisfies

$$
\begin{equation*}
\hat{A} \psi_{0}=0, \tag{1.29}
\end{equation*}
$$

is an energy eigenstate that saturates the inequality (1.28). Indeed,

$$
\begin{equation*}
\hat{H} \psi_{0}=\hat{A}^{\dagger} \hat{A} \psi_{0}+E_{0} \psi_{0}=\hat{A}^{\dagger}\left(\hat{A} \psi_{0}\right)+E_{0} \psi_{0}=E_{0} \psi_{0} . \tag{1.30}
\end{equation*}
$$

The state $\psi_{0}$ satisfying $\hat{A} \psi_{0}=0$ is the ground state. For conventional Hamiltonians this is a first order differential equation for $\psi_{0}$ and much easier to solve than the Schrödinger equation.

## 2 Operator manipulation and the spectrum

We have seen that all energy eigenstates are eigenstates of the Hermitian number operator $\hat{N}=\hat{a}^{\dagger} \hat{a}$. This is because $\hat{H}=\hbar \omega\left(\hat{N}+\frac{1}{2}\right)$. Note that since $\hat{a} \varphi_{0}=0$ we also have

$$
\begin{equation*}
\hat{N} \varphi_{0}=0 \tag{2.1}
\end{equation*}
$$

We can quickly check that

$$
\begin{align*}
{[\hat{N}, \hat{a}] } & =\left[\hat{a}^{\dagger} \hat{a}, \hat{a}\right]=\left[\hat{a}^{\dagger}, \hat{a}\right] \hat{a}=-\hat{a}, \\
{\left[\hat{N}, \hat{a}^{\dagger}\right] } & =\left[\hat{a}^{\dagger} \hat{a}, \hat{a}^{\dagger}\right]=\hat{a}^{\dagger}\left[\hat{a}, \hat{a}^{\dagger}\right]=\hat{a}^{\dagger}, \tag{2.2}
\end{align*}
$$

which we summarize as

$$
\begin{align*}
{[\hat{N}, \hat{a}] } & =-\hat{a},  \tag{2.3}\\
{\left[\hat{N}, \hat{a}^{\dagger}\right] } & =\hat{a}^{\dagger}
\end{align*}
$$

Using these identities and induction you should be able to show that:

$$
\begin{align*}
{\left[\hat{N},(\hat{a})^{k}\right] } & =-k(\hat{a})^{k}, \\
{\left[\hat{N},\left(\hat{a}^{\dagger}\right)^{k}\right] } & =k\left(\hat{a}^{\dagger}\right)^{k} . \tag{2.4}
\end{align*}
$$

These relations suggest why $\hat{N}$ is called the number operator. Acting on powers of creation or annihilation operators by commutation it gives the same object multiplied by (plus or minus) the number of creation or annihilation operators, $k$ in the above. Closely related commutators are also useful:

$$
\begin{align*}
{\left[\hat{a}^{\dagger},(\hat{a})^{k}\right] } & =-k(\hat{a})^{k-1}  \tag{2.5}\\
{\left[\hat{a},\left(\hat{a}^{\dagger}\right)^{k}\right] } & =k\left(\hat{a}^{\dagger}\right)^{k-1} .
\end{align*}
$$

These commutators are analogous to $\left[\hat{p},(\hat{x})^{k}\right]$ and $\left[\hat{x},(\hat{p})^{k}\right]$. We will also make use of the following Lemma which helps in evaluations where we have an operator $\hat{A}$ that kills a state $\psi$ and we aim to simplify the action of $\hat{A} \hat{B}$, where $\hat{B}$ is another operator, acting on $\psi$. Here is the result

$$
\begin{equation*}
\text { If } \hat{A} \psi=0 \text {, then } \hat{A} \hat{B} \psi=[\hat{A}, \hat{B}] \psi \tag{2.6}
\end{equation*}
$$

This is easily proved. First note that

$$
\begin{equation*}
\hat{A} \hat{B}=[\hat{A}, \hat{B}]+\hat{B} \hat{A} \tag{2.7}
\end{equation*}
$$

as can be quickly checked expanding the right-hand side. It then follows that

$$
\begin{equation*}
\hat{A} \hat{B} \psi=([\hat{A}, \hat{B}]+\hat{B} \hat{A}) \psi=[\hat{A}, \hat{B}] \psi \tag{2.8}
\end{equation*}
$$

because $\hat{B} \hat{A} \psi=\hat{B}(\hat{A} \psi)=0$. This is what we wanted to show. This is all we need to know about commutators and we can now proceed to construct the states of the harmonic oscillator.
Since $\hat{a}$ annihilates $\varphi_{0}$ consider acting on the ground state with $\hat{a}^{\dagger}$. It is clear that $\hat{a}^{\dagger}$ cannot also annihilate $\varphi_{0}$. If that would happen acting with both sides of the commutator identity $\left[\hat{a}, \hat{a}^{\dagger}\right]=1$ on $\varphi_{0}$ would lead to a contradiction: the left-hand side would vanish but the right-hand side would not. Thus consider the wavefunction

$$
\begin{equation*}
\varphi_{1} \equiv \hat{a}^{\dagger} \varphi_{0} \tag{2.9}
\end{equation*}
$$

We are going to show that this is an energy eigenstate. For this purpose we act on it with the number operator:

$$
\begin{equation*}
\hat{N} \varphi_{1}=\hat{N} \hat{a}^{\dagger} \varphi_{0}=\left[\hat{N}, \hat{a}^{\dagger}\right] \varphi_{0} \tag{2.10}
\end{equation*}
$$

where we noted that $\hat{N} \varphi_{0}=0$ and used Lemma (2.6). Given that $\left[\hat{N}, \hat{a}^{\dagger}\right]=\hat{a}^{\dagger}$, we get

$$
\begin{equation*}
\hat{N} \varphi_{1}=\hat{a}^{\dagger} \varphi_{0}=\varphi_{1} . \tag{2.11}
\end{equation*}
$$

Thus $\varphi_{1}$ is an eigenstate of the operator $\hat{N}$ with eigenvalue $N=1$. Since $\varphi_{0}$ has $\hat{N}$ eigenvalue zero, the effect of acting on $\varphi_{0}$ with $\hat{a}^{\dagger}$ was to increase the eigenvalue of the number operator by one unit. The operator $\hat{a}^{\dagger}$ is called the creation operator because it creates a state out of the ground state. Alternatively, it is called the raising operator, because it raises (by one unit) the eigenvalue of $\hat{N}$. Since $N=1$ for $\varphi_{1}$ it follows that $\varphi_{1}$ is an energy eigenstate with energy $E_{1}$ given by

$$
\begin{equation*}
E_{1}=\hbar \omega\left(1+\frac{1}{2}\right)=\frac{3}{2} \hbar \omega \tag{2.12}
\end{equation*}
$$

It also turns out that $\varphi_{1}$ is properly normalized:

$$
\begin{equation*}
\left(\varphi_{1}, \varphi_{1}\right)=\left(\hat{a}^{\dagger} \varphi_{0}, \hat{a}^{\dagger} \varphi_{0}\right)=\left(\varphi_{0}, \hat{a}^{\dagger} \varphi_{0}\right), \tag{2.13}
\end{equation*}
$$

where we used the Hermitian conjugation property to move the $\hat{a}^{\dagger}$ acting on the left input into the right input, where it goes as $\left(\hat{a}^{\dagger}\right)^{\dagger}=\hat{a}$. We then have

$$
\begin{equation*}
\left(\varphi_{1}, \varphi_{1}\right)=\left(\varphi_{0}, \hat{a} \hat{a}^{\dagger} \varphi_{0}\right)=\left(\varphi_{0},\left[\hat{a}, \hat{a}^{\dagger}\right] \varphi_{0}\right)=\left(\varphi_{0}, \varphi_{0}\right)=1 \tag{2.14}
\end{equation*}
$$

where we used (2.6) in the evaluation of $\hat{a} \hat{a}^{\dagger} \psi_{0}$. Indeed the state $\varphi_{1}$ is correctly normalized.
Next consider the state

$$
\begin{equation*}
\varphi_{2}^{\prime} \equiv \hat{a}^{\dagger} \hat{a}^{\dagger} \varphi_{0} \tag{2.15}
\end{equation*}
$$

This has

$$
\begin{equation*}
\hat{N} \varphi_{2}^{\prime}=\hat{N} \hat{a}^{\dagger} \hat{a}^{\dagger} \varphi_{0}=\left[\hat{N}, \hat{a}^{\dagger} \hat{a}^{\dagger}\right] \varphi_{0}=2 \hat{a}^{\dagger} \hat{a}^{\dagger} \varphi_{0}=2 \varphi_{2}^{\prime} \tag{2.16}
\end{equation*}
$$

so $\varphi_{2}$ is a state with number $N=2$ and energy $E_{2}=\frac{5}{2} \hbar \omega$. Is it properly normalized? We find

$$
\begin{align*}
\left(\varphi_{2}, \varphi_{2}\right) & =\left(\hat{a}^{\dagger} \hat{a}^{\dagger} \varphi_{0}, \hat{a}^{\dagger} \hat{a}^{\dagger} \varphi_{0}\right)=\left(\varphi_{0}, \hat{a} \hat{a}^{\dagger} \hat{a}^{\dagger} \varphi_{0}\right)=\left(\varphi_{0}, \hat{a}\left[\hat{a}, \hat{a}^{\dagger} \hat{a}^{\dagger}\right] \varphi_{0}\right) \\
& =\left(\varphi_{0}, 2 \hat{a} \hat{a}^{\dagger} \varphi_{0}\right)=\left(\varphi_{0}, \varphi_{0}\right)=2 . \tag{2.17}
\end{align*}
$$

The properly normalized wavefunction is therefore

$$
\begin{equation*}
\varphi_{2} \equiv \frac{1}{\sqrt{2}} \hat{a}^{\dagger} \hat{a}^{\dagger} \varphi_{0} \tag{2.18}
\end{equation*}
$$

We now claim that the $n$-th excited state of the simple harmonic oscillator is

$$
\begin{equation*}
\varphi_{n} \equiv \frac{1}{\sqrt{n!}} \underbrace{\hat{a}^{\dagger} \ldots \hat{a}^{\dagger}}_{n} \varphi_{0}=\frac{1}{\sqrt{n!}}\left(\hat{a}^{\dagger}\right)^{n} \varphi_{0} \tag{2.19}
\end{equation*}
$$

Exercise: Verify that this state has $\hat{N}$ eigenvalue $n$.
Exercise: Verify that the state $\varphi_{n}$ is properly normalized.
Since the $\hat{N}$ eigenvalue of $\varphi$ is $n$, its energy $E_{n}$ is given by

$$
\begin{equation*}
E_{n}=\hbar \omega\left(n+\frac{1}{2}\right) . \tag{2.20}
\end{equation*}
$$

Since the various states $\varphi_{n}$ are eigenstates of a Hermitian operator (the Hamiltonian $\hat{H}$ ) with different eigenvalues, they are orthonormal

$$
\begin{equation*}
\left(\varphi_{n}, \varphi_{m}\right)=\delta_{m, n} \tag{2.21}
\end{equation*}
$$

We now note that $\hat{a} \varphi_{n}$ is a state with $n-1$ operators $\hat{a}^{\dagger}$ acting on $\varphi_{0}$ because the $\hat{a}$ eliminates one of the creation operators in $\varphi_{n}$. Thus we expect $\hat{a} \varphi_{n} \sim \varphi_{n-1}$. We can make this precise

$$
\begin{equation*}
\hat{a} \varphi_{n}=\hat{a} \frac{1}{\sqrt{n!}}\left(\hat{a}^{\dagger}\right)^{n} \varphi_{0}=\frac{1}{\sqrt{n!}}\left[\hat{a},\left(\hat{a}^{\dagger}\right)^{n}\right] \varphi_{0}=\frac{n}{\sqrt{n!}}\left(\hat{a}^{\dagger}\right)^{n-1} \varphi_{0} . \tag{2.22}
\end{equation*}
$$

At this point we use (2.19) with $n$ set equal to $n-1$ and thus get

$$
\begin{equation*}
\hat{a} \varphi_{n}=\frac{n}{\sqrt{n!}} \sqrt{(n-1)!} \varphi_{n-1}=\sqrt{n} \varphi_{n-1} . \tag{2.23}
\end{equation*}
$$

By the action of $\hat{a}^{\dagger}$ on $\varphi_{n}$ we get

$$
\begin{equation*}
\hat{a}^{\dagger} \varphi_{n}=\frac{1}{\sqrt{n!}}\left(\hat{a}^{\dagger}\right)^{n+1} \varphi_{0}=\frac{1}{\sqrt{n!}} \sqrt{(n+1)!} \varphi_{n+1}=\sqrt{n+1} \varphi_{n+1} . \tag{2.24}
\end{equation*}
$$

Collecting the results, we have

$$
\begin{align*}
\hat{a} \varphi_{n} & =\sqrt{n} \varphi_{n-1}, \\
\hat{a}^{\dagger} \varphi_{n} & =\sqrt{n+1} \varphi_{n+1} . \tag{2.25}
\end{align*}
$$

These relations make it clear that $\hat{a}$ lowers the number of any energy eigenstate by one unit, except for the vacuum $\varphi_{0}$ which it kills. The raising operator $\hat{a}^{\dagger}$ increases the number of any eigenstate by one unit.
Exercise: Calculate the uncertainty $\Delta x$ of position in the $n$-th energy eigenstate.
Solution: By definition,

$$
\begin{equation*}
(\Delta x)_{n}^{2}=\left\langle\hat{x}^{2}\right\rangle_{\varphi_{n}}-\langle\hat{x}\rangle_{\varphi_{n}}^{2} \tag{2.26}
\end{equation*}
$$

The expectation value $\langle\hat{x}\rangle$ vanishes for any energy eigenstate since we are integrating $x$, which is odd, against $\left|\varphi_{n}(x)\right|^{2}$, which is always even. Still, it is instructive to see how this happens explicitly:

$$
\begin{equation*}
\langle\hat{x}\rangle_{\varphi_{n}}=\left(\varphi_{n}, \hat{x} \varphi_{n}\right)=\sqrt{\frac{\hbar}{2 m \omega}}\left(\varphi_{n},\left(\hat{a}+\hat{a}^{\dagger}\right) \varphi_{n}\right), \tag{2.27}
\end{equation*}
$$

using the formula for $\hat{x}$ in terms of $\hat{a}$ and $\hat{a}^{\dagger}$. The above overlap vanishes because $\hat{a} \varphi_{n} \sim \varphi_{n-1}$ and $\hat{a}^{\dagger} \varphi_{n} \sim \varphi_{n+1}$ and both $\varphi_{n-1}$ and $\varphi_{n+1}$ are orthogonal to $\varphi_{n}$. Now we compute the expectation value of $\hat{x}^{2}$

$$
\begin{align*}
\left\langle\hat{x}^{2}\right\rangle_{\varphi_{n}} & =\left(\varphi_{n}, \hat{x}^{2} \varphi_{n}\right)=\frac{\hbar}{2 m \omega}\left(\varphi_{n},\left(\hat{a}+\hat{a}^{\dagger}\right)\left(\hat{a}+\hat{a}^{\dagger}\right) \varphi_{n}\right)  \tag{2.28}\\
& =\frac{\hbar}{2 m \omega}\left(\varphi_{n},\left(\hat{a} \hat{a}+\hat{a} \hat{a}^{\dagger}+\hat{a}^{\dagger} \hat{a}+\hat{a}^{\dagger} \hat{a}^{\dagger}\right) \varphi_{n}\right) .
\end{align*}
$$

Since $\hat{a} \hat{a} \varphi_{n} \sim \varphi_{n-2}$ and $\hat{a}^{\dagger} \hat{a}^{\dagger} \varphi_{n} \sim \varphi_{n+2}$ and both $\varphi_{n-2}$ and $\varphi_{n+2}$ are orthogonal to $\varphi_{n}$, the $\hat{a} \hat{a}$ and $\hat{a}^{\dagger} \hat{a}^{\dagger}$ terms do not contribute. We are left with

$$
\begin{equation*}
\left\langle\hat{x}^{2}\right\rangle_{\varphi_{n}}=\frac{\hbar}{2 m \omega}\left(\varphi_{n},\left(\hat{a} \hat{a}^{\dagger}+\hat{a}^{\dagger} \hat{a}\right) \varphi_{n}\right) . \tag{2.29}
\end{equation*}
$$

At this point we recognize that $\hat{a}^{\dagger} \hat{a}=\hat{N}$ and that $\hat{a} \hat{a}^{\dagger}=\left[\hat{a}, \hat{a}^{\dagger}\right]+\hat{a}^{\dagger} \hat{a}=1+\hat{N}$. As a result

$$
\begin{equation*}
\left\langle\hat{x}^{2}\right\rangle_{\varphi_{n}}=\frac{\hbar}{2 m \omega}\left(\varphi_{n},(1+2 \hat{N}) \varphi_{n}\right)=\frac{\hbar}{2 m \omega}(1+2 n) . \tag{2.30}
\end{equation*}
$$

We therefore have

$$
\begin{equation*}
(\Delta x)_{n}^{2}=\frac{\hbar}{m \omega}\left(n+\frac{1}{2}\right) \tag{2.31}
\end{equation*}
$$

The position uncertainty grows linearly with the number.
Sarah Geller and Andrew Turner transcribed Zwiebach's handwritten notes to create the first LaTeX version of this document.

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### 8.04 Quantum Physics I

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